



# STERLING BIOTECH LIMITED

**Regd Office :** 43, Atlanta Building, Nariman Point, Mumbai - 400 021.

Tel.: + 91(22) 6630 6732, 6630 6733. Fax : +91(22) 2204 1954

CIN - L51900MH1985PLC035738

## Introductory sub-table (I)(a)

<b>Name of the Company:</b> Sterling Biotech Limited			
<b>Scrip Code, Name of the scrip, class of security:</b> 512299, STERLINBIO, Equity Shares			
<b>Quarter ended:</b> 31st December, 2014			
<b>Partly paid-up shares:-</b>			
	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	Nil	N.A.	N.A.
Held by public	Nil	N.A.	N.A.
<b>Total - D</b>	Nil	N.A.	N.A.
<b>Outstanding convertible securities: No. of outstanding securities</b>			
		<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	Nil	N.A.	N.A.
Held by public (FCCBs)	160988002	100	37.18
<b>Total - E</b>	<b>160988002</b>	<b>100</b>	<b>37.18</b>
<b>Warrants:-</b>			
	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	Nil	N.A.	N.A.
Held by public	Nil	N.A.	N.A.
<b>Total - F</b>	Nil	N.A.	N.A.
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>			
<b>433035990</b>			

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<b>(B)</b>	<b>Public shareholding</b>							
<b>I</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	6	7003	1003	0.00	0.00		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	4	23244065	23244065	10.27	8.54		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Any Other (specify)	0	0	0	0.00	0.00		
(h-i)								
(h-ii)								
	<b>Sub-Total (B)(1)</b>	<b>10</b>	<b>23251068</b>	<b>23245068</b>	<b>10.27</b>	<b>8.55</b>		
<b>B 2</b>	<b>Sub-Total (B)(2)</b>							
(a)	Bodies Corporate	609	44561460	44374840	19.68	16.38		
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	31716	38860912	31531259	17.17	14.28		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	61	18313789	18313789	8.09	6.73		
(c)	Any Other (specify)							
(c-i)	Clearing Member	164	5489575	5489575	2.42	2.02		
(c-ii)	Non Resident Indians (Repatriable)	334	4303884	3836384	1.90	1.58		
(c-iii)	Non Resident Indians (Non Repatriable)	85	763572	763572	0.34	0.28		
(c-iv)	Foreign Companies	1	830	830	0.00	0.00		
(c-v)	Trusts	0	0	0	0.00	0.00		
	<b>Sub-Total (B)(2)</b>	<b>32970</b>	<b>112294022</b>	<b>104310249</b>	<b>49.60</b>	<b>41.28</b>		
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>32980</b>	<b>135545090</b>	<b>127555317</b>	<b>59.87</b>	<b>49.82</b>		
	<b>TOTAL (A)+(B)</b>	<b>33013</b>	<b>226385225</b>	<b>218395452</b>	<b>100.00</b>	<b>83.22</b>		
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group					0.00		0.00
2	Public	1	45662763	45662763		16.78		
	<b>Sub-Total (C)</b>	<b>1</b>	<b>45662763</b>	<b>45662763</b>		<b>16.78</b>	<b>0</b>	
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>33014</b>	<b>272047988</b>	<b>264058215</b>		<b>100.00</b>	<b>50816835</b>	<b>18.68</b>

## Notes

### Sr.No

1 Total Foreign Shareholding is 73,975,114 shares which represent 27.19% of total Paid up capital of the Company.

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**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Chetan Sandesara	5200000	1.91	2896000	55.69	1.06	0	0	0	0	1.20
2	Nitin Sandesara	1052000	0.39	588000	55.89	0.22	0	0	0	0	0.24
3	Aditi Hospitals Pvt. Ltd.	4752842	1.75	2646000	55.67	0.97	0	0	0	0	1.10
4	Anula Properties Pvt. Ltd.	3218048	1.18	1794000	55.75	0.66	0	0	0	0	0.74
5	Blue Mark Mercantile Pvt. Ltd.	5326890	1.96	2009000	37.71	0.74	0	0	0	0	1.23
6	Bullworth Investrade Pvt. Ltd.	4251458	1.56	2366000	55.65	0.87	0	0	0	0	0.98
7	Doral Trading Pvt. Ltd.	3207570	1.18	1787000	55.71	0.66	0	0	0	0	0.74
8	Helicopter Services Pvt. Ltd.	4970220	1.83	2770000	55.73	1.02	0	0	0	0	1.15
9	Jaico Textiles Pvt. Ltd.	4823620	1.77	4333574	89.84	1.59	0	0	0	0	1.11
10	Jangpriya Investments Pvt. Ltd.	2242000	0.82	1222261	54.52	0.45	0	0	0	0	0.52
11	Modi Capital Finance (India) Ltd.	2817980	1.04	1569000	55.68	0.58	0	0	0	0	0.65

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12	Natasha Investment Co. Pvt. Ltd.	3145392	1.16	1752000	55.70	0.64	0	0	0	0	0.73
13	Paysan Publishers Pvt. Ltd.	2803875	1.03	1191000	42.48	0.44	0	0	0	0	0.65
14	Prabal Investrade Pvt. Ltd.	3927146	1.44	845000	21.52	0.31	0	0	0	0	0.91
15	Puja Aqua Farms Pvt. Ltd.	3074778	1.13	1590000	51.71	0.58	0	0	0	0	0.71
16	Richmond Investment Pvt. Ltd.	2435058	0.90	1354000	55.60	0.50	0	0	0	0	0.56
17	Rollstar Finance & Investment Pvt. Ltd.	2948500	1.08	1644000	55.76	0.60	0	0	0	0	0.68
18	Sanyukta Investrade Pvt. Ltd.	2710000	1.00	1508000	55.65	0.55	0	0	0	0	0.63
19	Shameek Breweries Pvt. Ltd.	2813384	1.03	1565000	55.63	0.58	0	0	0	0	0.65
20	Unique Proteins Pvt. Ltd.	3019780	1.11	1682000	55.70	0.62	0	0	0	0	0.70
21	Relique Leasfin Pvt. Ltd.	1640458	0.60	913000	55.66	0.34	0	0	0	0	0.38
22	Tarana Exports Pvt. Ltd.	1646422	0.61	918000	55.76	0.34	0	0	0	0	0.38
23	Coyote Leasing Pvt. Ltd.	1647580	0.61	919000	55.78	0.34	0	0	0	0	0.38
24	Hizin Trading Company Pvt. Ltd.	1663450	0.61	927000	55.73	0.34	0	0	0	0	0.38
25	Soham Proteins Pvt. Ltd.	1596384	0.59	888000	55.63	0.33	0	0	0	0	0.37
26	Ciel Port Management Pvt. Ltd.	1567300	0.58	876000	55.89	0.32	0	0	0	0	0.36
27	Titanic Investment and Consultants Pvt. Ltd.	1276000	0.47	711000	55.72	0.26	0	0	0	0	0.29
28	Swadeshi Finvest Pvt. Ltd.	1538000	0.57	1524000	99.09	0.56	0	0	0	0	0.36
29	Sealord Erections Pvt. Ltd.	1870000	0.69	1041000	55.67	0.38	0	0	0	0	0.43

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<b>(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares</b>								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Albula Investment Fund Ltd.	15963234	5.87	0	0	0	0	3.69
2	Amin Technology Services Pvt. Ltd.	6315478	2.32	0	0	0	0	1.46
3	Faith Finstock Pvt. Ltd.	5427683	2.00	0	0	0	0	1.25
4	Citypoint Trading Company Pvt. Ltd.	5353297	1.97	0	0	0	0	1.24
5	Inkpot Infrastructure Pvt. Ltd.	5207779	1.91	0	0	0	0	1.20
6	Elara India Opportunities Fund Ltd.	4455831	1.64	0	0	0	0	1.03
7	Francisca Investment Pvt. Ltd.	4356133	1.60	0	0	0	0	1.01
8	Traub Automatics Pvt. Ltd.	4163384	1.53	0	0	0	0	0.96
9	Jitlal Financial Services Pvt. Ltd.	3624942	1.33	0	0	0	0	0.84
10	Arcadia Share & Stock Brokers Pvt. Ltd.	3640956	1.34	0	0	0	0	0.84
11	Viel Insurance Services Pvt. Ltd.	3398210	1.25	0	0	0	0	0.78
12	Soft Star Mercantile Company Pvt. Ltd.	3000000	1.10	0	0	0	0	0.69
13	Avatar India Opportunities Fund	2800000	1.03	0	0	0	0	0.65
<b>TOTAL</b>		<b>67706927</b>	<b>24.89</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>15.64</b>

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Albula Investment Fund Ltd.	15963234	5.87	0	0	0	0	3.69
<b>TOTAL</b>		<b>15963234</b>	<b>5.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3.69</b>

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**(I)(d) Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1			
2			
3	Nil	Nil	N.A
4			
5			
6			
7			
8			
9			
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>



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**(II)(a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	GDRs	7610460	45662763	16.78
2				
3				
4				
5				
6				
7				
8				
9				
<b>TOTAL</b>		<b>7610460</b>	<b>45662763</b>	<b>16.78</b>

