

Introductory sub-table (I)(a)

Name of the Company: Sterling Biotech Limited			
Scrip Code, Name of the scrip, class of security: 512299, STERLINBIO, Equity Shares			
Quarter ended: 30th September, 2013			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	Nil	N.A.	N.A
Held by public	Nil	N.A.	N.A
Total - D	Nil	N.A.	N.A
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	N.A.	N.A
Held by public (FCCBs)	34628823	100	11.45
Total - E	34628823	100	11.45
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	Nil	N.A.	N.A
Held by public	Nil	N.A.	N.A
Total - F	Nil	N.A.	N.A
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		302502413	

Statement Showing Shareholding Pattern

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
		2	6252000	6252000	2.81	2.33	3484000	55.73
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	31	84588135	84588135	37.98	31.58	47332835	55.96
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
(e-i)					0.00	0.00		0.00
(e-ii)					0.00	0.00		0.00
	Sub Total(A)(1)	33	90840135	90840135	40.79	33.91	50816835	55.94
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0
b	Bodies Corporate	0	0	0	0.00	0.00	0	0
c	Institutions	0	0	0	0.00	0.00	0	0
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0
d-i					0.00	0.00	0	0
d-ii					0.00	0.00	0	0
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	33	90840135	90840135	40.79	33.91	50816835	55.94

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions/ Banks	6	7303	1303	0.00	0.00		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	17	24377042	24377042	10.95	9.10		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Any Other (specify)	0	0	0	0.00	0.00		
(h-i)								
(h-ii)								
	Sub-Total (B)(1)	23	24384345	24378345	10.95	9.10		
B 2	Non-institutions							
(a)	Bodies Corporate	619	42099554	41912934	18.90	15.72		
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	32705	45020129	37657276	20.22	16.81		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	38	10211558	10211558	4.59	3.81		
(c)	Any Other (specify)							
(c-i)	Clearing Member	144	6546592	6546592	2.94	2.44		
(c-ii)	Non Resident Indians (Repatriable)	386	3262063	2789563	1.46	1.22		
(c-iii)	Non Resident Indians (Non Repatriable)	88	336019	336019	0.15	0.13		
(c-iv)	Foreign Companies	1	830	830	0.00	0.00		
(c-v)	Trusts	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	33981	107476745	99454772	48.26	40.12		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	34004	131861090	123833117	59.21	49.23		
	TOTAL (A)+(B)	34037	222701225	214673252	100.00	83.14		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group					0.00		0.00
2	Public	1	45172365	45172365		16.86		
	Sub-Total (C)	1	45172365	45172365		16.86	0	
	GRAND TOTAL (A)+(B)+(C)	34038	267873590	259845617		100.00	50816835	18.97

Notes

Sr.No

1 Total Foreign Shareholding is 73,148,319 shares which represent 27.31% of total Paid up capital of the Company.

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
No.	(i)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Chetan Sandesara	5200000	1.94	2896000	55.69	1.08	0	0	0	0	1.72
2	Nitin Sandesara	1052000	0.39	588000	55.89	0.22	0	0	0	0	0.35
3	Aditi Hospitals Pvt. Ltd.	4752842	1.77	2646000	55.67	0.99	0	0	0	0	1.57
4	Anula Properties Pvt. Ltd.	3218048	1.20	1794000	55.75	0.67	0	0	0	0	1.06
5	Blue Mark Mercantile Pvt. Ltd.	5326890	1.99	2009000	37.71	0.75	0	0	0	0	1.76
6	Bullworth Investrade Pvt. Ltd.	4251458	1.59	2366000	55.65	0.88	0	0	0	0	1.41
7	Doral Trading Pvt. Ltd.	3207570	1.20	1787000	55.71	0.67	0	0	0	0	1.06
8	Helicopter Services Pvt. Ltd.	4970220	1.86	2770000	55.73	1.03	0	0	0	0	1.64
9	Jaico Textiles Pvt. Ltd.	4823620	1.80	4333574	89.84	1.62	0	0	0	0	1.59
10	Jangpriya Investments Pvt. Ltd.	2242000	0.84	1222261	54.52	0.46	0	0	0	0	0.74
11	Modi Capital Finance (India) Ltd.	2817980	1.05	1569000	55.68	0.59	0	0	0	0	0.93

12	Natasha Investment Co. Pvt. Ltd.	3145392	1.17	1752000	55.70	0.65	0	0	0	0	1.04
13	Paysan Publishers Pvt. Ltd.	2803875	1.05	1191000	42.48	0.44	0	0	0	0	0.93
14	Prabal Investrade Pvt. Ltd.	3927146	1.47	845000	21.52	0.32	0	0	0	0	1.30
15	Puja Aqua Farms Pvt. Ltd.	3074778	1.15	1590000	51.71	0.59	0	0	0	0	1.02
16	Richmond Investment Pvt. Ltd.	2435058	0.91	1354000	55.60	0.51	0	0	0	0	0.80
17	Rollstar Finance & Investment Pvt. Ltd.	2948500	1.10	1644000	55.76	0.61	0	0	0	0	0.97
18	Sanyukta Investrade Pvt. Ltd.	2710000	1.01	1508000	55.65	0.56	0	0	0	0	0.90
19	Shameek Breweries Pvt. Ltd.	2813384	1.05	1565000	55.63	0.58	0	0	0	0	0.93
20	Unique Proteins Pvt. Ltd.	3019780	1.13	1682000	55.70	0.63	0	0	0	0	1.00
21	Relique Leasfin Pvt. Ltd.	1640458	0.61	913000	55.66	0.34	0	0	0	0	0.54
22	Tarana Exports Pvt. Ltd.	1646422	0.61	918000	55.76	0.34	0	0	0	0	0.54
23	Coyote Leasing Pvt. Ltd.	1647580	0.62	919000	55.78	0.34	0	0	0	0	0.54
24	Hizin Trading Company Pvt. Ltd.	1663450	0.62	927000	55.73	0.35	0	0	0	0	0.55
25	Soham Proteins Pvt. Ltd.	1596384	0.60	888000	55.63	0.33	0	0	0	0	0.53
26	Ciel Port Management Pvt. Ltd.	1567300	0.59	876000	55.89	0.33	0	0	0	0	0.52
27	Titanic Investment and Consultants Pvt. Ltd.	1276000	0.48	711000	55.72	0.27	0	0	0	0	0.42
28	Swadeshi Finvest Pvt. Ltd.	1538000	0.57	1524000	99.09	0.57	0	0	0	0	0.51
29	Sealord Erections Pvt. Ltd.	1870000	0.70	1041000	55.67	0.39	0	0	0	0	0.62

30	Antariksha Capital And Finance (India) Pvt. Ltd.	1900000	0.71	1783000	93.84						
						0.67	0	0	0	0	0.63
31	Eminence Trading Pvt. Ltd.	1919000	0.72	1069000	55.71						
						0.40	0	0	0	0	0.63
32	Antariksha Securities Pvt. Ltd.	1950000	0.73	1086000	55.69						
						0.41	0	0	0	0	0.64
33	Marut Apparels Pvt. Ltd.	1885000	0.70	1050000	55.70						
						0.39	0	0	0	0	0.62
TOTAL		90840135	33.91	50816835	55.94	18.97	0	0	0	0	30.03

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Albula Investment Fund Ltd.	15963234	5.96	0	0	0	0	5.28
2	Amin Technology Services Pvt. Ltd.	6315478	2.36	0	0	0	0	2.09
3	Faith Finstock Pvt. Ltd.	5427683	2.03	0	0	0	0	1.79
4	Citypoint Trading Company Pvt. Ltd.	5353297	2.00	0	0	0	0	1.77
5	Inkpot Infrastructure Pvt. Ltd.	5207779	1.94	0	0	0	0	1.72
6	Elara India Opportunities Fund Ltd.	4455831	1.66	0	0	0	0	1.47
7	Francisca Investment Pvt. Ltd.	4356133	1.63	0	0	0	0	1.44
8	Traub Automatics Pvt. Ltd.	4163384	1.55	0	0	0	0	1.38
9	Jitlal Financial Services Pvt. Ltd.	3624942	1.35	0	0	0	0	1.20
10	Arcadia Share & Stock Brokers Pvt. Ltd.	3490463	1.30	0	0	0	0	1.15
11	Viel Insurance Services Pvt. Ltd.	3398210	1.27	0	0	0	0	1.12
12	Soft Star Mercantile Company Pvt. Ltd.	3000000	1.12	0	0	0	0	0.99
13	Guinness Securities Ltd.	2873163	1.07	0	0	0	0	0.95
14	Avatar India Opportunities Fund	2800000	1.05	0	0	0	0	0.93
TOTAL		70429597	26.29	0	0	0	0	23.28

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Albula Investment Fund Ltd.	15963234	5.96	0	0	0	0	5.28
TOTAL		15963234	5.96	0	0	0	0	5.28

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1			
2			
3	Nil	Nil	N.A
4			
5			
6			
7			
8			
9			
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	GDRs	7528727	45172365	16.86
2				
3				
4				
5				
6				
7				
8				
9				
TOTAL		7528727	45172365	16.86

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
3	NIL	NIL	NIL	N.A.
4				
5				
6				
7				
8				
9				
TOTAL				